
Computation of Certificate Chains with Alternating Pushdown Systems

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A bit of history

1997: Bouajjani, E., Maler study the reachability problem of **pushdown systems (PDS)**, and **alternating pushdown systems (APDS)**.

- Very simple polynomial algorithm for PDS.
Efficiency improved by E., Hansel, Rossmanith, Schwoon in 2000.
- Straightforward extension leads to exponential algorithm for APDS.

1999: Ellison et al. introduce **SPKI/SDSI**, an authorization framework.

- Security policy given by a set of certificates or **certs** $P \rightarrow G$:
Principal P delegates his/her rights to a group of principals G .
- Proof of authorization by means of a **cert chain** from authoriser to authorized principal.

2002: Jha and Reps model SPKI/SDSI as PDSs.

- Computation of **cert chains** reduced to the **reachability problem**.

Threshold certs

The SPKI/SDSI standard allows for **threshold certs** $P \rightarrow (G_1, \dots, G_n, k)$:

Principal P delegates his/her rights to all principals that belong to at least k of the groups G_1, \dots, G_n .

Jha and Reps remark that SPKI/SDSI with threshold certs can be naturally modelled by APDS.

- This paper:
- (1) Improves the efficiency of the exponential algorithm for APDS.
 - (2) Gives an efficient polynomial algorithm for APDSs modelling SPKI/SDSI with threshold certs.

The talk concentrates on (2): Background on (A)PDS and SPKI/SDS, result, experiments.

Pushdown systems

A pushdown system (PDS) is a triple (P, Γ, Δ) , where

- P is a finite set of **control locations**
- Γ is a finite **stack alphabet**
- $\Delta \subseteq (P \times \Gamma) \times (P \times \Gamma^*)$ is a finite set of **rules**

A **configuration** is a pair $\langle p, v \rangle$, where $p \in P$, $v \in \Gamma^*$

If $\langle p, \gamma \rangle \hookrightarrow \langle p', v \rangle \in \Delta$ then $\langle p, \gamma w \rangle \longrightarrow \langle p', vw \rangle$ for every $w \in \Gamma^*$

Normalization: $|v| \leq 2$

For c, c' configurations: c' is **reachable** from c if $c \rightarrow c_1 \rightarrow \dots \rightarrow c_n \rightarrow c'$

Alternating pushdown systems

An **alternating** pushdown system (APDS) is a triple (P, Γ, Δ) , where

- P is a finite set of **control locations**
- Γ is a finite **stack alphabet**
- $\Delta \subseteq \mathcal{P}((P \times \Gamma) \times (P \times \Gamma^*))$ is a finite set of **rules**

A **configuration** is a pair $\langle p, v \rangle$, where $p \in P$, $v \in \Gamma^*$

If $\langle p, \gamma \rangle \hookrightarrow \{\langle p_1, v_1 \rangle, \dots, \langle p_n, v_n \rangle\} \in \Delta$ then

$\langle p, \gamma w \rangle \longrightarrow \{\langle p_1, v_1 w \rangle, \dots, \langle p_n, v_n w \rangle\}$ for every $w \in \Gamma^*$

$\{c_1, \dots, c_k\} \rightarrow C$ if $c_1 \rightarrow C_1, \dots, c_k \rightarrow C_k$ and $C = C_1 \cup \dots \cup C_k$

Normalization: $|v_i| \leq 2$ **and** $n \leq 2$

For C, C' **sets of** confs: C' is **reachable** from C if $C \rightarrow C_1 \rightarrow \dots \rightarrow C_n \rightarrow C'$

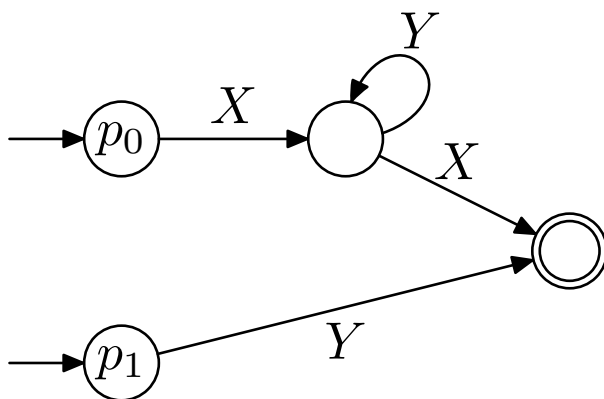
BEM's reachability algorithm for PDSs

Key problem: given a set of configurations C , compute the set of its predecessors/successors

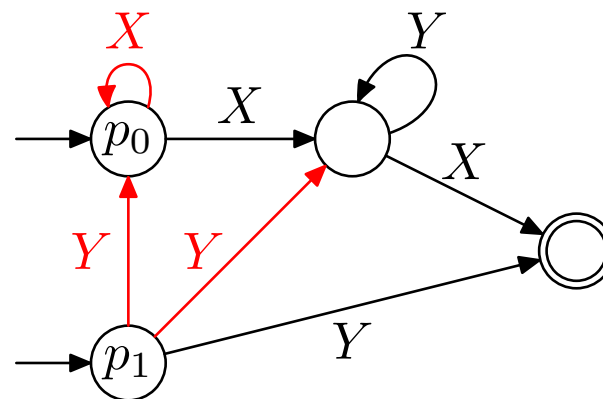
Symbolic representation: use **finite automata** to represent **regular** sets of configurations

Polynomial **saturation algorithms** working on the symbolic representation

$$P = \{p_0, p_1\}, \Gamma = \{X, Y\}, \Delta = \{p_0X \hookrightarrow p_0, p_1Y \hookrightarrow p_0, p_1Y \hookrightarrow p_1, YX\}$$



$$\langle p_0, XY^*X \rangle \cup \langle p_1, Y \rangle$$



$$\text{pre}^*(\langle p_0, XY^*X \rangle \cup \langle p_1, Y \rangle)$$

Extension to APDS

Symbolic representation using **alternating** finite automata

- Transitions of the form $q \xrightarrow{a} Q$
- w accepted if $\{q_0\} \xrightarrow{w} Q$ for some $Q \subseteq F$

Exponential saturation algorithms working on the symbolic representation

Observe:

- a finite automaton with n states and m alphabet letters can have at most $n \cdot m \cdot n = n^2 \cdot m$ transitions.
- an **alternating** automaton can have $n \cdot 2^n \cdot m$ transitions.

Theorem: The reachability problem for APDS is EXPTIME-complete

Simple SPKI/SDSI

A set of **principals** identified by their public keys: K_{Alice} , K_{CS} , K_{Uni}

A set of **names** to describe rôles: **prof**, **student**

A set of **name certs** to describe relations:

$K_{CS} \text{ prof} \rightarrow K_{Alice}$ (Alice is CS prof)

$K_{Uni} \text{ prof} \rightarrow K_{CS} \text{ prof}$ (All CS profs are uni. profs)

A set of **authorization certs** to grant or delegate rights.

Read $K_P \blacksquare$ as set of rights that P owns

Read $K_P \square$ as set of rights that P owns and can delegate

$K_{Money} \square \rightarrow K_{Uni} \text{ prof} \blacksquare$ (Delegation)

$K_{Money} \square \rightarrow K_{Uni} \text{ prof} \square$ ("Recursive" delegation)

Normalization: at most 2 names in right-hand-side

Proof of authorization by **cert chains**:

$$K_{Money} \square \implies K_{Uni \text{ prof}} \blacksquare \implies K_{CS \text{ prof}} \blacksquare \implies K_{Alice} \blacksquare$$

Strong analogy between simple SPKI/SDSI systems and pushdown automata:

principals' keys	\rightsquigarrow	states
names + $\{\blacksquare, \square\}$	\rightsquigarrow	stack symbols
certs	\rightsquigarrow	rules
certificate chains	\rightsquigarrow	computations

Principal K_P has access to resource K_R equivalent to:

$K_P \blacksquare$ or $K_P \square$ are reachable from $K_R \square$

SPKI/SDSI with threshold certificates

Threshold authorization certificates (part of the SPKI/SDSI standard):

Delegate rights to profs that belong to at least k faculties.

$$K_{Money} \square \rightarrow (K_{F_1} \text{ prof } \square, K_{F_2} \text{ prof } \blacksquare, \dots, K_{F_n} \text{ profs } \square, k)$$

Threshold name certificates (not part of the standard):

Declare students that study at least k CS-subjects as CS-students:

$$K_{CS} \text{ student} \rightarrow (K_{sub1} \text{ student}, \dots, K_{sub_n} \text{ student}, k)$$

Certificates for $k = n$ correspond to **alternating** pushdown rules

$$K_{Money} \square \rightarrow \{ K_{F_1} \text{ prof } \square, K_{F_2} \text{ prof } \blacksquare, \dots, K_{F_n} \text{ prof } \square \}$$

Normalization: $n = k = 2$ (possible blowup).

Complexity of the authorization problem I

Theorem:

Let n, c_0, c_1, c_2 as before.

Let c_{ta} be the number of threshold authorization certs

Let c_{tn} be the number of threshold name certs

The authorization problem for SPKI/SDSI with both threshold authorization and threshold name certs is EXPTIME-complete and can be solved in time

$$O(c_0 + c_{ta} + 2^n c_1 + 4^n (n c_2 + c_{tn}))$$

Ellison et al., 1999: “*The reason that a threshold subject may not appear in a name cert is . . . which would almost surely be too convoluted to be usable in practice.*”

Complexity of the authorization problem II

Theorem: The authorization problem for SPKI/SDSI with only threshold authorization certs can be solved in time

$$O(c_0 + c_{ta} + n c_1 + n^2 c_2)$$

Idea of the proof: In this case the saturation algorithm cannot add any alternating rules to the initial alternating automaton.

Coincides with best known algorithm for simple SPKI/SDSI when $c_{ta} = 0$.

Implementation and experiments

Algorithm implemented on top of the NEXUS platform for **context-aware** systems

- NEXUS provides middleware to obtain context data (e.g. geographical neighbours) about mobile objects registered at the platform

Scenario: **Trade fair**

- Visitors move around the exhibition halls
- Mobile phones used to obtain visitors' locations
- Company X launches a promotion: customers of X can freely download ringtones if they visit X 's stand, and can authorize another person of their choice.

For this, X 's manager only needs to add the certificate

$$K_{ring} \square \rightarrow \{Stand_X \text{ visitor } \square, K_X \text{ customer } \square\}$$

Experiments:

- Some thousands of visitors and about 100 **hierarchically organized** stands
- Database queries and data transmission simulated by opening and closing files
- Between 25 and 500 milliseconds to grant/reject access for realistic values of the parameters.

Summary

Algorithm for reachability in APDS with detailed complexity analysis

Application to SPKI/SDSI's threshold certs

Efficient polynomial algorithm for SPKI/SDSI's standard

Theoretical support for design choice to leave threshold name certs out

Implementation on top of a platform for context-aware systems

Promising experimental results

Also in the paper: more efficient algorithm for computing attractors in PDS games (inspired by Cachat's work).